Avoidable waste in the production and reporting of research evidence

Iain Chalmers, Paul Glasziou

www.thelancet.com Published online June 15, 2009

Iain Chalmers and Paul Glasziou



Overview

Waste occurs at 4 stages of research: question; design; publication; the report

About 50% loss at last 3 stages

Implies 85% of \$100Billion spent on research each year is wasted



The personal impact of non-publication



"Research results should be easily accessible to people who need to make decisions about their own health... Why was I forced to make my decision knowing that information was somewhere but not available? Was the delay because the results were less exciting than expected? Or because in the evolving field of myeloma research there are now new exciting hypotheses (or drugs) to look at? How far can we tolerate the butterfly behaviour of researchers, moving on to the next flower well before the previous one has been fully exploited?"

The 4 stages: from question to report



Research waste

Stage 1: study questions

Questions relevant to clinicians & patients?

Low priority questions studied

Important outcomes not assessed

Clinicians and patients not involved in setting research agendas

Research waste

Research priorities among patients with osteoarthritis of the knee compared with researchers' priorities (Tallon et al. 2000).

Interventions	Research <u>priorities</u> among 67 patients		Interventions evaluated in 460 RCTs	
	Number	Per cent	Number	Per cent
Knee replacement	24	35.8	13	2.8
Education and advice	14	20.9	14	3.0
Drugs	6	9.0	380	82.6
Complementary therapy	4	6.0	29	6.3
Physical therapies	2	3.0	24	5.2
Miscellaneous others	16	23.9		
No intervention	1	1.5		

Survey of patients with rheumatoid arthritis priority treatment outcome

What is patients' most important problem?

- It was not pain
- It was fatigue!



OMERACT

Outcome Measures in Rheumatology

Home Next meeting Bibliography OMERACT List Virtual Community What is? Docs

Welcome

This is the website for the OMERACT initiative, which stands for Outcome Measures in Rheumatology.

The OMERACT initiative is an informal international network, working groups We are pleased to announce that and gatherings interested in outcome OMERACT 9 conference on Outcome measurement across the spectrum of rheumatology intervention studies.

OMERACT strives to improve outcome measurement through a data driven, interactive consensus process. An Organizing Committee with members from 3 continents, Scientific & Business Advisory Committees, international opinion leaders and hard working participants, strive towards consensus on guidelines and recommendations.

OMERACT meetings are held every 2 years to develop new consensus and quidelines for outcomes in rheumatic diseases.

Review OMERACT 8's Proceedings

Program & Content

OMERACT 9

27 May - 31 May 2008

Measures in Rheumatology is going to be held in the spring of 2008 in Kananaskis in Canada



For more information on OMERACT, please contact the **OMERACT Secretariat, Leanne** Idzerda, at omeract@uottawa.ca

REGISTRATION FOR OMERACT 9 CLOSED

News

We would like to thank you for your support, which has helped make OMERACT such a great success. Due to this success there has been an immense interest in attending OMERACT 9 and all participant places have now been filled.

If you would like to place your name on a waiting list, please contact omeract9@g2g.co.uk

We would like to remind you that you are always welcome to partake in any of the OMERACT Working Groups and look forward to seeing you at OMERACT 10 in 2010!

DOWNLOAD PREREADING MATERIALS HERE

Stage 2: study design

Appropriate design and methods?

Over 50% studies designed without reference to systematic reviews of existing evidence

Over 50% studies fail to take adequate steps to reduce biases, e.g. unconcealed treatment allocation

New studies:

- 1. Ignore previous studies
- 2. Have avoidable design flaws

Research waste

The use of systematic reviews when designing studies

Nicola J Cooper*, David R Jones* and Alex J Sutton

Only 11 of 24 responding authors of trial reports that had been added to existing systematic reviews were even aware of the relevant reviews when they designed their new studies.

Conclusions Cautious interpretation of these results is necessary, but it is apparent that the proportion of study investigators using Cochrane or other systematic reviews in designing their new studies was very limited. Inclusion of encouragement in publication or application guidelines to consider and cite review results is desirable. *Clinical Trials* 2005; **2**: 260–264. www.SCTjournal.com

Randomized controlled trials of aprotinin in cardiac surgery: could clinical equipoise have stopped the bleeding? *Clinical Trials* 2005; **2**: 218–232

Dean Fergusson^{a, b}, Kathleen Cranley Glass^{b, c}, Brian Hutton^a and Stan Shapiro^{b, c, d}



Figure 6 Citations of prior publications.

Cumulative estimate of the effect of aprotinin on perioperative blood transfusion, 1987-2002.

Odds Ratios with 95% Confidence Intervals

	Vannaf		Favours Aprotinin	Favours Contro	1
Ref #	<u>rear or</u> Publication	# Pts	0.01 0.1 1	10 100	
6	Dec-87	22			1
7	Mar-89 Apr-89	99 175			
9	Sep -90	219			
10	Dec-90	296		0.11 (0.03, 0.38)	
12	Jun -91	376			
13	Dec-91	455			Enough in 10022
15	Apr-92	486		0.22 (0.09, 0.52)	
17	Jun -92	2385			
18 19	Jun -92 Nov-92	2445 2495			
20	Dec-92	2664			
21 22	Jan -93 Jul-93	2754			
23	Aug-93	3005			
24	Jan -94	3044		0.28 (0.20, 0.38)	
26a	Feb-94	3201			
200	Feb -94	3396			
28	Apr-94 Ju1-94	3475			
30	Aug-94	3668			
31	Aug-94 Oct-94	3724			
33	Oct-94	3854		0.70 (7.27 0.78)	
34	Dec-94 Dec-94	3882	⊢●┤	0.29 (0.23, 0.38)	
36	Feb-95 Feb-95	4147			
38	Feb -95	4240			
39 40	Apr-95 Jun -95	4338		_	
41	Jun -95	4420			
42 43	Oct-95	4548	I I I I I I I I I I I I I I I I I I I		
44	Oct-95 Oct-95	4578		0.30 (0.24, 0.38)	
46	May-96	4882	⊢ ●-1		
47	Jul-96 Aug-96	4975 5023			
49	Aug-96	5135			
51	Dec-96	5970	⊢ •1	-	
52 53	Jan -97 Jan -97	6008	Hei I		
54	Aug-97	6227		0.33 (0.26, 0.41)	
55 56	Dec-97	6376	i e i		
57a 57b	Oct-98 Oct-98	6442 6507			
58	Nov-98	7303			
59 60	Aug-99 Sep -99	7360			
61	Mar-00	7593			
63	Dec-00	7697	●		
64 65	Jan -01 Sep -01	7897			
66	Sep -01	8011			
67	Jun -02	8040		0.34 (0.29, 0.41)	
					-

Avoidable design flaws are common

Adequacy and reporting of allocation concealment: review of recent trials published in four general medical journals Catherine Hewitt, Seokyung Hahn, David J Torgerson, Judith Watson, J Martin Bland

What is already known on this topic

The effect of adequacy of allocation concealment in randomised controlled trials may influence the degree of effect

What this study adds

Despite researchers' acceptance that adequate allocation concealment is important, almost a fifth of trials recently published in major medical iournals used inadequate concealment and a quarter failed to describe how the allocation was concealed BMJ 2005;330: 1057-8.

Stage 3: publication

Accessible full publication?

Over 50% of studies never published in full

Biased underreporting of studies with disappointing results

Research waste

Publication bias and rates

Figure 1. Forest plot of comparison: I Rate of publication and significance of trial result (pooled), outcome: I.I Total number of trials published.



Hopewell S, et al. CDSR 2009

About half of trials are unpublished

"Less than half of all studies, and about 60% of randomized or controlled clinical trials, initially presented as summaries or abstracts at professional meetings are subsequently published as peerreviewed journal articles."

Scherer RW, Langenberg P, von Elm E. Full publication of results initially presented in abstracts. Cochrane Database of Systematic Reviews 2007, Issue 2.

Stage 4: Useable report



Unbiased and usable report?

Over 30% of interventions not sufficiently described

Over 50% of planned study outcomes not reported

Most new research not interpreted in the context of systematic reviews of other relevant evidence

Research waste

What is the treatment?

The paper's description of sodium reduction

Individual and weekly group counseling sessions were offered initially, with less intensive counseling and support thereafter, specific to sodium reduction."



TOHP Study BMJ, Apr 2007; 334: 885

What is sodium reduction?

The paper's description

 "Individual and weekly group counseling sessions were offered initially, with less intensive counseling and support thereafter, specific to sodium reduction."

Previous reference

- (i) an individual session followed by 10 weekly group 90 minute sessions with a nutritionist, followed by a transitional stage of some additional sessions
- (ii) Topics in the weekly sessions included Getting Started, sodium basics, the morning meal, midday sources of sodium, the main meal, planning ahead, creative cooking, eating out, food cues, and social support,
- (iii) the sessions included sampling of foods, discussion of articles on sodium reduction, and problem-solving,
- (iv) patients kept diaries at least 6 days per week, and urine sodiums were measured.

Is the inadequate description fixable?



Glasziou P, et al. BMJ 2008; 336: 1472-74

Systematic review: what specific regimen?

- STUDY: meta-analysis of behavioural interventions for insomnia adults
 - ".. confirms the efficacy of behavioral interventions for person with chronic insomnia."
- PROBLEM: No regimens for 'behavioural intervention' described
 - Author asked: "what specific treatment regime (or regimes) would you recommend based on your review?"
 - Author response: "It was found that cognitive, behavioral and relaxation therapies all in general lead to similar improvements in sleep outcomes---although cognitive approaches might have been a bit better. The references for these studies are found in the article. "



So what can we do?

Training

Standards (CONSORT)

Non-pharmacopeia

Panel: Stages of waste in the production and reporting of research evidence—barriers (in italics) and recommendations (bullet points)

Questions relevant to clinicians and patients

Poor engagement of end users of research in research questions and design

 Increase involvement of patients and clinicians in shaping research agendas and specific questions

Incentives in fellowships and career paths to do primary research even if of low relevance

 Emphasise initial training in critical appraisal and systematic reviews rather than the conduct of primary research

Appropriate design and methods

Poor training in research methods and research reporting

- Require training of all clinicians in methodological flaws and biases in research; improve training in research methods for those doing research apprenticeships Lack of methodological input to research design and review of research
- · Increase numbers of methodologists in health-care research

Incentives for primary research ignore the need to use and improve on existing research on the same question

 Research funding bodies should require—and support—grant proposals to build on systematic reviews of existing evidence

Published research fails to set the study in the context of all previous similar research

 Journal editors should require new studies to be set in the context of systematic assessments of related studies

Accessible full publication

Non-registration of trials

 Require—by incentives and regulation—registration and publication of protocols for all clinical trials at inception

Failure of sponsors and authors to submit full reports of completed research

Support timely open access to full results on completion

Unbiased and usable report

Poor awareness and use by authors and editors of reporting guidelines

 Increase author and journal awareness of and training in reporting guidelines, such as CONSORT and STARD statements (http://www.equator-network.org)

Many journal reviews focus on expert judgments about contribution to knowledge, rather than methods and usability

 Supplement peer review of studies with review by methodologists and end users Space restrictions in journals prevent publication of details of interventions and tests

 Support free access repositories—separate from any publications—so that clinicians and researchers have details of the treatments, test, or instruments studied

Repository of intervention descriptions is needed

A "Handbook" of Non-Drug Interventions



The 4 stages: from question to report



85% Research waste = over \$85 Billion / year

Summary

- Waste at 4 stages of research: question; design; publication; report
- About 50% loss at last 3 stages
- Implies 85% of \$100Billion spent on research each year is wasted

Discussion Sections in Reports of Controlled Trials Published in General Medical Journals

Islands in Search of Continents?

Michael Clarke, DPhil; Iain Chalmers, MSc

JAMA. 1998;280:280-282

Classification of Discussion sections in RCT reports published in May issues of Ann Int Med, BMJ, JAMA, Lancet, and N Eng J Med

	1997 n=26	
First trial addressing the question	1	
Contained an updated systematic review integrating the new results	2	
Discussed a previous review but did not attempt to integrate new results	4	
No apparent systematic attempt to set new results in context of other trials	19	

Classification of Discussion sections in RCT reports published in May issues of Ann Int Med, BMJ, JAMA, Lancet, and N Eng J Med

	1997 n=26	2001 n=33	
First trial addressing the question	1	3	
Contained an updated systematic review integrating the new results	2	0	
Discussed a previous review but did not attempt to integrate new results	4	3	
No apparent systematic attempt to set new results in context of other trials	19	27	

Classification of Discussion sections in RCT reports published in May issues of Ann Int Med, BMJ, JAMA, Lancet, and N Eng J Med

	1997 n=26	2001 n=33	2005 n=18
First trial addressing the question	1	3	3
Contained an updated systematic review integrating the new results	2	0	0
Discussed a previous review but did not attempt to integrate new results	4	3	5
No apparent systematic attempt to set new results in context of other trials	19	27	10

Empirical Evidence for Selective Reporting of Outcomes in Randomized Trials

Comparison of Protocols to Published Articles

An-Wen Chan, MD, DPhil

Asbjørn Hróbjartsson, MD, PhD

Mette T. Haahr, BSc

Peter C. Gøtzsche, MD, DrMedSci

Douglas G. Altman, DSc

Conclusions The reporting of trial outcomes is not only frequently incomplete but also biased and inconsistent with protocols. <u>Published articles, as well as reviews that incorporate them, may therefore be unreliable and overestimate the benefits of an intervention</u>. To ensure transparency, planned trials should be registered and protocols should be made publicly available prior to trial completion.

JAMA. 2004;291:2457-2465

www.jama.com

Even though there is more to learn about the "epidemiology" and "treatment" of waste in the production and reporting of research evidence, we believe that all of our recommendations are justified on the basis of the evidence we have cited. Action to address this waste is needed now because it has human as well as economic consequences, as illustrated by the quotation with which this Viewpoint began.¹



The problem The real information needs of clinicians and patients



"I THINK YOU SHOULD BE MORE EXPLICIT HERE IN STEP TWO."

"Working" on the Problem







Cognitive Style



Paul

Biased or unusable reports of research

Although their quality has improved, reports of research remain much less useful than they should be. Sometimes this is because of frankly biased reporting—eg, adverse effects of treatments are suppressed, the choice of primary outcomes is changed between trial protocol and trial reports,²¹ and the way data are presented does not allow comparisons with other, related studies. But even when trial reports are free of such biases, there are many respects in which reports could be made more useful to clinicians, patients, and researchers. We select here just two of these.

First, if clinicians are to be expected to implement treatments that have been shown in research to be useful, they need adequate descriptions of the interventions assessed, especially when these are non-drug interventions, such as setting up a stroke unit, offering a low fat diet, or giving smoking cessation advice. Adequate information on interventions is available in around 60% of reports of clinical trials;²² yet, by checking references, contacting authors, and doing additional searches, it is possible to increase to 90% the proportion of trials for which adequate information could be made available.²²

lain

Mapping the research-practice gap





Austin Bradford Hill, 1965

Four questions to which readers want answers when reading reports of research.

1. Why did you start?



Austin Bradford Hill, 1965

Four questions to which readers want answers when reading reports of research.

4. And what does it mean anyway?

Discussion Sections in Reports of Controlled Trials Published in General Medical Journals

Mike Clarke, DPhil

Phil Alderson, MBChB

Iain Chalmers, DSc

JAMA. 2002;287:2799-2801

Reports of clinical trials should begin and end with up-to-date systematic reviews of other relevant evidence: a status report

Mike Clarke¹ Sally Hopewell¹ lain Chalmers²

J R Soc Med 2007;100:187-190