False and not useful clinical research: how can we increase its value?

## TAYPOMENION, 10/2017

John P.A. Ioannidis, MD, DSc

C.F. Rehnborg Chair in Disease Prevention Professor of Medicine, of Health Research and Policy, of Biomedical Data Science, and of Statistics Stanford University Co-Director, Meta-Research Innovation Center at Stanford (METRICS)

## How to survive the medical misinformation mess

John P. A. Ioannidis\*,\*,\*, Michael E. Stuart\*, Shannon Brownlee\*\*,\*\* and Sheri A. Strite\*

- 1 Much published medical research is not reliable or is of uncertain reliability, offers no benefit to patients, or is not useful to decision makers.
- 2 Most healthcare professionals are not aware of this problem.
- 3 Even if they are aware of this problem, most healthcare professionals lack the skills necessary to evaluate the reliability and usefulness of medical evidence.
- 4 Patients and families frequently lack relevant, accurate medical evidence and skilled guidance at the time of medical decision-making.

## Eur J Clin Invest, 2017

στεινωποὶ μὲν γὰρ παλάμαι κατὰ γυῖα κέχυνται· πολλὰ δὲ δείλ' ἔμπαια, τά τ' ἀμβλύνουσι μέριμνας. παῦρον δ' ἐν ζωῆισι βίου μέρος ἀθρήσαντες ὠκύμοροι καπνοῖο δίκην ἀρθέντες ἀπέπταν αὐτὸ μόνον πεισθέντες, ὅτωι προσέκυρσεν ἕκαστος πάντοσ' ἐλαυνόμενοι, τὸ δ' ὅλον <πᾶς> εὕχεται εὐρεῖν·

## ΕΜΠΕΔΟΚΛΗΣ

For scant and scattered are the means of acquiring evidence. And many sad happenings intervene that blunt the edge of careful reasoning. After gathering only a small portion of life that is not life, swift to meet their fate, they get dispersed like smoke, persuaded only of whatever bias each one of them chanced upon while being tossed around here and there, boasting in vain to have found the whole.



# How good is the quality of the clinical evidence?

- All 1394 systematic reviews published on the Cochrane Database of Systematic Reviews from January 2013 to June, 2014.
- GRADE (Grades of Recommendation, Assessment, Development, and Evaluation) summary of findings performed in 608 (43.6%).
- Quality of the evidence for the first listed primary outcome: 13.5% high, 30.8% moderate, 31.7% low, 24% very low level.
- Even when all outcomes listed were considered, only 19.1% had at least one outcome with high quality of evidence.
- Of the reviews with high quality of evidence, only 25 had both significant results and a favorable interpretation of the intervention.

Fleming et al, J Clin Epidemiol 2016

# Significance of the evidence?

- Almost all scientific papers claim that they have found (statistically and/or conceptually) significant results
- Among abstracts with P-values in Medline (1990-2015), 96% report statistically significant results

# Statistical significance has become a boring nuisance: 96% of the biomedical literature claims significant results



Chavalarias, Wallach, Li, Ioannidis, JAMA 2016

# Almost any result can be obtained: Vibration of effects and the Janus phenomenon





Patel, Burford, Ioannidis. JCE 2015

### Completeness of main outcomes across randomized trials in entire discipline: survey of chronic lung disease outcomes in preterm infants

John P A Ioannidis,<sup>1</sup> Jeffrey D Horbar,<sup>2,3,4</sup> Colleen M Ovelman,<sup>4</sup> Yolanda Brosseau,<sup>4</sup> Kristian Thorlund,<sup>5</sup> Madge E Buus-Frank,<sup>6,7</sup> Edward J Mills,<sup>8</sup> Roger F Soll<sup>2,4</sup>

### ABSTRACT

### OBJECTIVE

To map the availability of information on a major clinical outcome—chronic lung disease—across the randomized controlled trials in systematic reviews of an entire specialty, specifically interventions in preterm infants.

### DESIGN

Survey of systematic reviews.

### DATA SOURCES

Cochrane Database of Systematic Reviews.

### STUDY SELECTION AND METHODS

All Cochrane systematic reviews (as of November 2013) that had evaluated interventions in preterm infants. We identified how many of those systematic reviews had looked for information on chronic lung disease, how many reported on chronic lung disease, and how many of the randomized controlled trials included in the systematic reviews reported on chronic lung disease. We also randomly selected 10 systematic reviews that did not report on chronic lung disease and 10 that reported on any such outcomes and identified whether any information on chronic lung disease appeared in the primary reports of the randomized controlled trials but not in the systematic reviews.

### MAIN OUTCOME MEASURES

Whether availability of chronic lung disease outcomes differed by type of population and intervention and whether additional non-extracted data might have been available in trial reports.

### RESULTS

174 systematic reviews with 1041 trials exclusively concerned preterm infants. Of those, 105 reviews looked for chronic lung disease outcomes, and 79 reported on these outcomes. Of the 1041 included trials, 202 reported on chronic lung disease at 28 days and 200 at 36 weeks postmenstrual; 320 reported on chronic lung disease with any definition. The proportion of systematic reviews that looked for or reported on chronic lung disease and the proportion of trials that reported on chronic lung disease was larger in preterm infants with respiratory distress or support than others (P < 0.001) and differed across interventions (P < 0.001). Even for trials on children with ventilation interventions, only 56% (48/86) reported on chronic lung disease. In the random sample, 45 of 84 trials (54%) had no outcomes on chronic lung disease in the systematic reviews, and only 9/45 (20%) had such information in the primary trial reports.

### CONCLUSIONS

Most trials included in systematic reviews of interventions on preterm infants are missing information on one of the most common serious outcomes in this population. Use of standardized clinical outcomes that would have to be collected and reported by default in all trials in a given specialty should be considered.

### Introduction

Many randomized controlled trials report only a portion of their primary and secondary outcomes.<sup>1-5</sup> This creates substantial potential for bias in the available evidence.<sup>67</sup> Trials can be misinterpreted when crucial information is missing. Selective reporting further distorts the systematic reviews and meta-analyses of the evidence. The impact of missing information on outcomes is even more influential when the respective outcomes are clinically the most important ones for the patients and setting examined. Some outcomes are so important that all trials, and thus also all systematic reviews, should consider, collect data, and report results on them. Their Patientrelevant outcomes are understudied

Chronic lung disease in preterm infants reported in only 320/1041 trials

## Many treatment effects seem to be large, especially in small, early trials, but few survive scrutiny

ORIGINAL CONTRIBUTION

### **Empirical Evaluation of Very Large Treatment** Effects of Medical Interventions

Tiago V. Pereira, PhD Ralph I. Horwitz, MD

Context Most medical interventions have modest effects, but occasionally some clinical trials may find very large effects for benefits or harms.

John P. A. Ioannidis, MD, DSc

**Objective** To evaluate the frequency and features of very large effects in medicine.

Data Sources Cochrane Database of Systematic Reviews (CDSR, 2010, issue 7).

OST EFFECTIVE INTERVENtions in health care confer modest, incremental benefits.1,2 Randomized trials, the gold standard to evaluate medical interventions, are ideally conducted under the principle of equipoise3: the compared groups are not perceived to have a clear advantage; thus, very large treatment effects are usually not anticipated. However, very large treatment effects are observed occasionally in some trials. These effects may include both anticipated and unexpected treatment benefits, or they may involve harms.

Large effects are important to document reliably because in a relative scale they represent potentially the cases in which interventions can have the most impressive effect on health outcomes and because they are more likely to be adopted rapidly and with less evidence. Consequently, it is important to know whether, when observed, very large effects are reliable and in what sort of experimental outcomes they are commonly observed. The importance of very large effects has drawn attention mostly in observational studies4,5 but has not been well studied in randomized evidence. It is unknown how often very large effects are replicated in

Study Selection We separated all binary-outcome CDSR forest plots with comparisons of interventions according to whether the first published trial, a subsequent trial (not the first), or no trial had a nominally statistically significant (P < .05) very large effect (odds ratio [OR],  $\geq$ 5). We also sampled randomly 250 topics from each group for further in-depth evaluation.

Data Extraction We assessed the types of treatments and outcomes in trials with very large effects, examined how often large-effect trials were followed up by other trials on the same topic, and how these effects compared against the effects of the respective meta-analyses.

Results Among 85 002 forest plots (from 3082 reviews), 8239 (9.7%) had a significant very large effect in the first published trial, 5158 (6.1%) only after the first published trial, and 71 605 (84.2%) had no trials with significant very large effects. Nominally significant very large effects typically appeared in small trials with median number of events: 18 in first trials and 15 in subsequent trials. Topics with very large effects were less likely than other topics to address mortality (3.6% in first trials, 3.2% in subsequent trials, and 11.6% in no trials with significant very large effects) and were more likely to address laboratory-defined efficacy (10% in first trials, 10.8% in subsequent, and 3.2% in no trials with significant very large effects). First trials with very large effects were as likely as trials with no very large effects to have subsequent published trials. Ninety percent and 98% of the very large effects observed in first and subsequently published trials, respectively, became smaller in meta-analyses that included other trials; the median odds ratio decreased from 11.88 to 4.20 for first trials, and from 10.02 to 2.60 for subsequent trials. For 46 of the 500 selected topics (9.2%; first and subsequent trials) with a very large-effect trial, the meta-analysis maintained very large effects with P < .001when additional trials were included, but none pertained to mortality-related outcomes. Across the whole CDSR, there was only 1 intervention with large beneficial effects on mortality, P < .001, and no major concerns about the quality of the evidence (for a trial on extracorporeal oxygenation for severe respiratory failure in newborns).

Conclusions Most large treatment effects emerge from small studies, and when additional trials are performed, the effect sizes become typically much smaller. Wellvalidated large effects are uncommon and pertain to nonfatal outcomes. JAMA, 2012:308(16):1676-1684

www.iama.com

Some types of clinical trials almost always favor the sponsor:

- Among trials published in 2011, 55/57 of non-inferiority trials with head to head comparisons sponsored by the industry demonstrated non-inferiority
- Success rate > 96%

Flacco et al. JCE 2015

## Re-analysis: can we trust the data?

### RESEARCH

## Restoring Study 329: efficacy and harms of paroxetine and imipramine in treatment of major depression in adolescence

Joanna Le Noury,<sup>1</sup> John M Nardo,<sup>2</sup> David Healy,<sup>1</sup> Jon Jureidini,<sup>3</sup> Melissa Raven,<sup>3</sup> Catalin Tufanaru,<sup>4</sup> Elia Abi-Jaoude<sup>5</sup>

### ABSTRACT

#### OBJECTIVES

To reanalyse SmithKline Beecham's Study 329 (published by Keller and colleagues in 2001), the primary objective of which was to compare the efficacy and safety of paroxetine and imipramine with placebo in the treatment of adolescents with unipolar major depression. The reanalysis under the restoring invisible and abandoned trials (RIAT) initiative was done to see whether access to and reanalysis of a full dataset from a randomised controlled trial would have clinically relevant implications for evidence based medicine.

#### DESIGN

Double blind randomised placebo controlled trial.

#### SETTING

12 North American academic psychiatry centres, from 20 April 1994 to 15 February 1998.

#### PARTICIPANTS

275 adolescents with major depression of at least eight weeks in duration. Exclusion criteria included a range of comorbid psychiatric and medical disorders and suicidality.

#### INTERVENTIONS

Participants were randomised to eight weeks double blind treatment with paroxetine (20-40 mg), imipramine (200-300 mg), or placebo.

#### MAIN OUTCOME MEASURES

The prespecified primary efficacy variables were change from baseline to the end of the eight week acute treatment phase in total Hamilton depression scale (HAM-D) score and the proportion of responders (HAM-D score ≤8 or ≥50% reduction in baseline HAM-D) at acute endpoint. Prespecified secondary outcomes were changes from baseline to endpoint in depression items in K-SADS-L, clinical global impression, autonomous functioning checklist, self-perception profile, and sickness impact scale; predictors of response; and number of patients who relapse during the maintenance phase. Adverse experiences were to be compared primarily by using descriptive statistics. No coding dictionary was prespecified.

#### RESULTS

The efficacy of paroxetine and imipramine was not statistically or clinically significantly different from placebo for any prespecified primary or secondary efficacy outcome. HAM-D scores decreased by 10.7 (least squares mean) (95% confidence interval 9.1 to 12.3), 9.0 (7.4 to 10.5), and 9.1 (7.5 to 10.7) points, respectively, for the paroxetine, imipramine and placebo groups (P=0.20). There were clinically significant increases in harms, including suicidal ideation and behaviour and other serious adverse events in the paroxetine group and cardiovascular problems in the imipramine group.

#### CONCLUSIONS

Neither paroxetine nor high dose imipramine showed efficacy for major depression in adolescents, and there was an increase in harms with both drugs. Access to primary data from trials has important implications for both clinical practice and research, including that published conclusions about efficacy and safety should not be read as authoritative. The reanalysis of Study 329 illustrates the necessity of making primary trial data and protocols available to increase the rigour of the evidence base.

### Why Most Clinical Research Is Not Useful

### John P. A. Ioannidis<sup>1,2</sup>\*

1 Stanford Prevention Research Center, Department of Medicine and Department of Health Research and Policy, Stanford University School of Medicine, Palo Alto, California, United States of America, 2 Meta-Research Innovation Center at Stanford (METRICS), Stanford University, Palo Alto, California, United States of America

\* jioannid@stanford.edu

### **Summary Points**

- Blue-sky research cannot be easily judged on the basis of practical impact, but clinical research is different and should be useful. It should make a difference for health and disease outcomes or should be undertaken with that as a realistic prospect.
- Many of the features that make clinical research useful can be identified, including those relating to problem base, context placement, information gain, pragmatism, patient centeredness, value for money, feasibility, and transparency.
- Many studies, even in the major general medical journals, do not satisfy these features, and very few studies satisfy most or all of them. Most clinical research therefore fails to be useful not because of its findings but because of its design.
- The forces driving the production and dissemination of nonuseful clinical research are largely identifiable and modifiable.
- Reform is needed. Altering our approach could easily produce more clinical research that is useful, at the same or even at a massively reduced cost.

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A (2016) Why Most Clinical Jl. PLoS Med 13(6): e1002049. hed.1002049

### 2016

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Feature	Questions to Ask
Problem base	Is there a health problem that is big/important enough to fix?
Context placement	Has prior evidence been systematically assessed to inform (the need for) new studies?
Information gain	Is the proposed study large and long enough to be sufficiently informative?
Pragmatism	Does the research reflect real life? If it deviates, does this matter?
Patient centeredness	Does the research reflect top patient priorities?
Value for money	Is the research worth the money?
Feasibility	Can this research be done?
Transparency	Are methods, data, and analyses verifiable and unbiased?

### Table 1. Features to consider in appraising whether clinical research is useful.

doi:10.1371/journal.pmed.1002049.t001

Table 2. How often is each utility	/ feature satisfied in studies	published in major	r general medical	journals and across all clinical research?*
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Feature	Studies Published in Major General Medical Journals	All Clinical Research
Problem base	Varies a lot	Minority
Context placement	Varies per journal (uncommon to almost always)	Uncommon
Information gain	Majority	Rare
Pragmatism	Rare	Rare
Patient centeredness	Rare/uncommon	Rare
Value for money	Unknown, rare assessments	Unknown, rare assessments
Feasibility	Almost always	Majority
Transparency	Rare/uncommon (data sharing)**, almost always (trial registration), uncommon (other study registration)	Rare/uncommon, except for trial registration (still only a minority)

\*Rare: satisfied in <1% of studies; uncommon: satisfied in 1%–20% of studies; minority: satisfied in 20%–50% of studies; majority: satisfied in 50%–80% of studies; very common: satisfied in 80%–99% of studies; almost always: satisfied in >99% of studies. For supporting evidence for these estimates, see references cited in the text.

\*\*The situation is improving in recent years for clinical trials.

doi:10.1371/journal.pmed.1002049.t002

## Dominant new paradigm: accelerated approvals



Accelerated approvals 2000-2013, from Naci et al. Milbank Q 2017

New world agenda of clinical trials: non-RCT non-indication non-evaluation



		M ean difference (years)			
-1	-10	-5	0 5	WMD (years)	95% CI
Tipranavir		•	-	-5.55	-10.34, -0.75
Bedaquiline		<b>e</b>		-5.32	-8.59, -2.05
Treprostinil		<b>●</b>		-4.09	-5.95, -2.23
Deferiprone		•		-3.87	-11.94, 4.19
Gefitinib		<b>e</b>		-3.37	-5.38, -1.36
Carfilzomib		<b>●</b>		-2.65	-4.27, -1.03
Oxaliplatin		<b>●</b>		-2.38	-3.71, -1.06
Darunavir		<b>e</b>	-	-2.23	-3.73, -0.73
Pomalidomide		<b>●</b>	I	-2.19	-4.48, 0.11
Ibritumomab		•		-1.92	-7.80, 3.96
Crizotinib		<b>●</b>	<u> </u>	-1.71	-3.61, 0.19
Lopinavir			_	-1.46	-2.62, -0.30
Enfuvirtide		•	<u> </u>	-1.45	-4.31, 1.41
Bortezomib				-1.41	-2.81, 0.00
Maraviroc			<u> </u>	-1.31	-3.31, 0.69
Brentuximab				-1.11	-7.22, 4.99
Nilotinib		•		-1.11	-3.78, 1.57
Raltegravir			•	-0.92	-1.84, 0.01
Etravirine			•	-0.91	-2.88, 1.07
Cetuximab			•	-0.75	-2.14, 0.64
Panitumumab			•	-0.70	-3.17, 1.78
Tenofovir			•	-0.65	-1.94, 0.65
Lenalidomide			- <b>-</b>	0.06	-4.10, 4.22
Imatinib			•	1.55	-1.00, 4.09
Gemtuzumab				2.18	0.34, 4.02
Overall (I-sq=52.5%)		-	-	-1.52	-2.17, -0.87
		Earlier start time for "evaluation" trials	Earlier start time f "background" tria	for ls	

# Non-sequential steps in evidence on approved versus other indications

#### -10.00 -5.00 0.00 5.00 10.00 WMD (years) 95% CI Deferiprone -9.34, -1.51 -5.43 Etravirine -2.11-4.00, -0.23 Deferasirox -2.08 -6.75, 2.59 Oxaliplatin -2.03 -2.86, -1.20 Enfuvirtide -1.72-7.83, 4.39 Raltegravir -1.51 -2.58, -0.45 Lopinavir -1.45-2.59, -0.31 Darunavir -1.17-2.45, 0.10Lenalidomide -3.79, 1.45 -1.17 Maraviroc -1.07 -2.40, 0.27Panitumumab -0.93 -2.58, 0.73 Tenofovir -0.92 -1.72, -0.13 Ibrutinib -0.70 -1.34, -0.07 Bedaquiline -0.61 -3.63, 2.41Tipranavir -0.60 -4.86, 3.66 Treprostinil -0.57 -3.55, 2.40Eltrombopag -0.35 -2.74.2.04Cetuximab 0.10 -0.80, 1.01 Alemtuzumab -2.33, 2.55 0.11 Pomalidomide 0.24 -2.02, 2.51 Nilotinib -1.51, 2.480.49 Bortezomib 0.57 -0.71, 1.85 Imatinib 1.45 -0.08, 2.99 Ofatumumab 1.57 -0.41, 3.54Crizotinib 1.610.24, 2.98 Natalizumab 2.40 -2.25, 7.05Gefitinib 3.54 2.18, 4.90 -0.34 -0.95, 0.27 Overall (I-sq=72.1%)

Mean difference (years)

Earlier start time for trials in initially approved indications

Earlier start time for trials in other indications

# RCTs versus studies with routinely collected data



Hemkens, Contopoulos-Ioannidis, Ioannidis, BMJ 2015

# Putting the evidence together towards clinical utility: systematic reviews and meta-analyses

- As of mid-2017, there are close to 100000 published meta-analysis articles indexed in PubMed
- There are over 1000 new ones indexed every month
- There are approximately 250000 published systematic reviews in PubMed, with another 2500 new ones indexed every month

## The systematic review and metaanalysis epidemic



### Ioannidis, Milbank Q 2016

## Is this useful?

- Systematic reviews and meta-analyses have become the most powerful, influential tool of EBM
- Therefore they have been hijacked to serve various agendas
- Most systematic reviews and meta-analyses are not useful

## Genetic meta-analyses from China



Genetic meta-analyses nom o

Overlapping network meta-analyses on the same topic: survey of published studies



## Industry and contractors

Network meta-analyses performed by contracting companies and commissioned by industry

Ewoud Schuit<sup>1,2</sup> and John PA loannidis<sup>1,2\*</sup>

# Systematic reviews as a prolific global business

- Over 100 service-offering companies perform systematic reviews
- Dozens of them perform even network metaanalyses
- Probably well over 2000 NMAs have been done by contracting for-profit companies
- Less than 20% of those have been published
- The majority of NMAs currently are done by forprofit companies hired by the industry

Schuit and Ioannidis, Syst Rev 2016

# The meta-pie

(see Ioannidis, Milbank Quarterly 2016)

## Currently produced meta-analyses



- Unpublished
- Redundant and unnecessary
- Decent, but not useful

- Misleading, abandoned genetics
- Flawed beyond repair
- Decent and clinically useful

# Potential solutions towards more credible and more useful research

- Some solutions have already worked in specific fields and may need to be considered in other fields as well
- Other solutions are more speculative
- Empirical evidence as to their efficacy is needed
- Seemingly effective solutions may also have collateral damages
- Do no harm



Ioannidis et al, Trends in Cognitive Sciences 2014

### Box 1. Some Research Practices that May Help Increase the Proportion of True Research Findings

- Large-scale collaborative research
- Adoption of replication culture
- Registration (of studies, protocols, analysis codes, datasets, raw data, and results)
- Sharing (of data, protocols, materials, software, and other tools)
- Reproducibility practices
- Containment of conflicted sponsors and authors
- More appropriate statistical methods
- Standardization of definitions and analyses
- More stringent thresholds for claiming discoveries or "successes"
- Improvement of study design standards
- Improvements in peer review, reporting, and dissemination of research
- Better training of scientific workforce in methods and statistical literacy

Ioannidis, PLoS Medicine 2014

# Large-scale collaboration and adoption of replication culture



## Levels of registration

- Level 0: no registration
- Level 1: registration of dataset
- Level 2: registration of protocol
- Level 3: registration of analysis plan
- Level 4: registration of analysis plan and raw data
- Level 5: open live streaming



### Registered report: Systematic identification of genomic markers of drug sensitivity in cancer cells

John P Vanden Heuvel<sup>1,2</sup>, Jessica Bullenkamp<sup>3</sup>, Reproducibility Project: Cancer Biology<sup>\*</sup>

<sup>1</sup>Indigo Biosciences, State College, United States; <sup>2</sup>Veterinary and Biomedical Sciences, Penn State University, University Park, PA, United States; <sup>3</sup>King's College London, London, United Kingdom

### PROJECT CANCER BIOLOGY

\*For correspondence: tim@cos.io

Group author details: Reproducibility Project: Cancer Biology See page 18

Competing interest: See page 18

Abstract The Reproducibility Project: Cancer Biology seeks to address growing concerns about the reproducibility in scientific research by conducting replications of selected experiments from a number of high-profile papers in the field of cancer biology. The papers, which were published between 2010 and 2012, were selected on the basis of citations and Altmetric scores (Errington et al., 2014). This Registered Report describes the proposed replication plan of key experiments from "Systematic identification of genomic markers of drug sensitivity in cancer cells" by Garnett and colleagues, published in Nature in 2012 (Garnett et al., 2012). The experiments to be replicated are those reported in Figures 4C, 4E, 4F, and Supplemental Figures 16 and 20. Garnett and colleagues performed a high throughput screen assessing the effect of 130 drugs on 639 cancer-derived cell lines in order to identify novel interactions for possible therapeutic approaches. They then tested this approach by exploring in more detail a novel interaction they identified in which Ewing's sarcoma cell lines showed an increased sensitivity to PARP inhibitors (Figure 4C). Mesenchymal progenitor cells (MPCs) transformed with the signature EWS-FLI1 translocation, the hallmark of Ewing's sarcoma family tumors, exhibited increased sensitivity to the PARP inhibitor olaparib as compared to MPCs transformed with a different translocation (Figure 4E). Knockdown mediated by siRNA of EWS-FLI1 abrogated this sensitivity to olaparib (Figure 4F). The Reproducibility Project: Cancer Biology is a collaboration between the Center for Open Science and Science Exchange, and the results of the replications will be published by eLife. DOI: 10.7554/eLife.13620.001

Sharing data – who, when, and how?

Doshi, Goodman, Ioannidis, TiPS 2013

### Table 1. Debated issues on the optimal procedures for datasharing of clinical trials

### Entity sharing the data and/or setting the rules

- Regulatory agencies (FDA, EMA)
- Sponsor(s) of each trial
- Investigators conducting each trial
- Overarching organization representing the sponsors (e.g., PhRMA– EFPIA)
- Other/new entity (to be created, perhaps with participation of some/all of the above)

Availability of data

- All collected raw data, as they stand
- Processed versions of the data (e.g., cleaned and/or de-identified) If so, who will do the processing and with what resources?
- Restricted access (i.e., no data sharing but rather providing authorized users access to query but not download data)
- Restricted versions (e.g., itemized to specific project requests)

### Eligible requestors of data

- Anyone, for example, open public access
- Only requestors with specific credentials If so, what credentials?

### Criteria for approval

- No criteria, for example, unrestricted open public access
- Minimal criteria enforced by contract
- Review of proposals

If so, by whom, who will appoint the reviewer panels, and what should be eligibility criteria for reviewer panels (e.g., conflicts of interest, content expertise)?

### Timing of availability of data for sharing

- Immediately upon study completion
- Publication of main analysis
- With some time lag (e.g., 6 months or 1 year) to allow the primary team a lead for any additional analyses they will perform
- Tied somehow to the licensing cycle (for licensed products)
- Special issues with access to data from past trials
- Availability of archived information
- Prior legal, contractual, and consent restrictions

### Enforcement or incentives

- Data sharing enforced, obligatory by legislation
- Incentives offered for data sharing (or disincentives for no data sharing)
- To investigators:

By journals (e.g., data sharing prerequisite to publication) By funders (e.g., funding of investigators by non-company funders dependent on their prior data-sharing practices) To companies (e.g., licensing or patenting linked to data sharing)

### Further sharing of data

- Unrestricted (e.g., open public access)
- Restricted to those approved (as above) (enforced by a contract)

META-RESEARCH ARTICLE

## Reproducible Research Practices and Transparency across the Biomedical Literature

Shareen A. Iqbal<sup>1©</sup>, Joshua D. Wallach<sup>2,3©</sup>, Muin J. Khoury<sup>4,5</sup>, Sheri D. Schully<sup>4</sup>, John P. A. Ioannidis<sup>2,3,6,7</sup>\*

There is a growing movement to encourage reproducibility and transparency practices in the scientific community, including public access to raw data and protocols, the conduct of replication studies, systematic integration of evidence in systematic reviews, and the documentation of funding and potential conflicts of interest. In this survey, we assessed the current status of reproducibility and transparency addressing these indicators in a random sample of 441 biomedical journal articles published in 2000–2014. Only one study provided a full protocol and none made all raw data directly available. Replication studies were rare (n = 4), and only 16 studies had their data included in a subsequent systematic review or meta-analysis. The majority of studies did not mention anything about funding or conflicts of interest. The percentage of articles with no statement of conflict decreased substantially between 2000 and 2014 (94.4% in 2000 to 34.6% in 2014); the percentage of articles reporting statements of conflicts (0% in 2000, 15.4% in 2014) or no conflicts (5.6% in 2000, 50.0%) in 2014) increased. Articles published in journals in the clinical medicine category versus other fields were almost twice as likely to not include any information on funding and to have private funding. This study provides baseline data to compare future progress in improving these indicators in the scientific literature.

# 46% retrieval rate for raw data of randomized trials under full data sharing policy

ing	Records identified through database searching: 159	]
Screen	BMJ : 120 PLOS medicine: 39	Records excluded based on title and abstract: 25
0)	Full text considered for eligibility: 134	BMJ : 20 non RCTs PLOS medicine: 5 non RCTs
ility	BMJ : 100 PLOS medicine: 34	Record excluded based on full text: 72
Eligib	Full text meeting inclusion criteria published after the policy: 62	BMJ : 55 no policy, 2 re-analyses, 11 secondary analyses PLOS medicine: 4 secondary analyses
	BMJ : 32 PLOS medicine: 30	Record excluded because submitted before the policy: 25
usion	Full text meeting inclusion criteria submitted after the policy: 37	BMJ : 11 PLOS medicine: 14
Incl	BMJ : 21 PLOS medicine: 16	

Naudet et al, submitted



### REPRODUCIBILITY

## Enhancing Reproducibility for Computational Methods

Data, code and workflows should be available and cited.

By Victoria Stodden, Marcia McNutt, David H. Bailey, Ewa Deelman, Yolanda Gil, Brooks Hanson, Michael A. Heroux, John P.A. Ioannidis, Michela Taufer

Science, December 2, 2016

## Better statistics and methods

- Transparent (registered?) statistical analysis plans
- Statistical training and improved literacy/numeracy of scientific workforce
- Better study designs
- Standard features: e.g. randomization and blinding of investigators in animal experiments
- What role for design/conduct checklists?

## Redefine statistical significance

We propose to change the default P-value threshold for statistical significance from 0.05 to 0.005 for claims of new discoveries.

Daniel J. Benjamin, James O. Berger, Magnus Johannesson, Brian A. Nosek, E.-J. Wagenmakers, Richard Berk, Kenneth A. Bollen, Biörn Brembs, Lawrence Brown, Colin Camerer, David Cesarini, Christopher D. Chambers, Merlise Clyde, Thomas D. Cook, Paul De Boeck, Zoltan Dienes, Anna Dreber, Kenny Easwaran, Charles Efferson, Ernst Fehr, Fiona Fidler, Andy P. Field, Malcolm Forster, Edward I. George, Richard Gonzalez, Steven Goodman, Edwin Green, Donald P. Green, Anthony Greenwald, Jarrod D. Hadfield, Larry V. Hedges, Leonhard Held, Teck Hua Ho, Herbert Hoijtink, Daniel J. Hruschka, Kosuke Imai, Guido Imbens, John P. A. Ioannidis, Minjeong Jeon, James Holland Jones, Michael Kirchler, David Laibson, John List, Roderick Little, Arthur Lupia, Edouard Machery, Scott E. Maxwell, Michael McCarthy, Don Moore, Stephen L. Morgan, Marcus Munafó, Shinichi Nakagawa, Brendan Nyhan, Timothy H. Parker, Luis Pericchi, Marco Perugini, Jeff Rouder, Judith Rousseau, Victoria Savalei, Felix D. Schönbrodt, Thomas Sellke, Betsy Sinclair, Dustin Tingley, Trisha Van Zandt, Simine Vazire, Duncan J. Watts, Christopher Winship, Robert L. Wolpert, Yu Xie, Cristobal Young, Jonathan Zinman and Valen E. Johnson

he lack of reproducibility of scientific studies has caused growing concern over the credibility of claims of new discoveries based on 'statistically significant' findings. There has been much progress toward documenting and addressing several causes of this lack of reproducibility (for example, multiple testing, P-hacking, publication bias and under-powered studies). However, we believe that a leading cause of non-reproducibility has not yet been adequately addressed: statistical standards of evidence for claiming new discoveries in many fields of science are simply too low. Associating statistically significant findings with P < 0.05 results in a high rate of false positives even in the absence of other experimental, procedural and reporting problems.

For fields where the threshold for defining statistical significance for new discoveries is P < 0.05, we propose a change to P < 0.005. This simple step would immediately improve the reproducibility of scientific research in many fields. Results that would currently be called significant but do not meet the new threshold should instead be called suggestive. While statisticians have known the relative weakness of using  $P \approx 0.05$  as a threshold for discovery and the proposal to lower it to 0.005 is not new<sup>1,2</sup>, a critical mass of researchers now endorse this change.

We restrict our recommendation to claims of discovery of new effects. We do di

not address the appropriate threshold for confirmatory or contradictory replications of existing claims. We also do not advocate changes to discovery thresholds in fields that have already adopted more stringent standards (for example, genomics and high-energy physics research; see the 'Potential objections' section below).



be written as:

 $\Pr(H_1 \mid x_{obs})$ 

 $\Pr(H_0 \mid x_{obs})$ 

probabilities. By Bayes' rule, this ratio may

 $= \frac{f(x_{\text{obs}} \mid H_1)}{f(x_{\text{obs}} \mid H_0)} \times \frac{\Pr(H_1)}{\Pr(H_0)}$ 

(1)

fo Fig. 1 Relationship between the P value and the Bayes factor. The Bayes factor (BF) is defined as  $\frac{f(x_{obs} \mid H_1)}{f(x_{obs} \mid H_1)}$ . The figure assumes that observations are independent and identically distributed NATURE HUMAN BEHAVIOUR I www.nature.com/nathumbehav f(xobs H0)

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## When Null Hypothesis Significance Testing Is Unsuitable for Research: A Reassessment

Denes Szucs1\* and John P. A. Ioannidis2

<sup>1</sup> Department of Psychology, University of Cambridge, Cambridge, United Kingdom, <sup>2</sup> Meta-Research Innovation Center at Stanford and Department of Medicine, Department of Health Research and Policy, and Department of Statistics, Stanford University, Stanford, CA, United States

Null hypothesis significance testing (NHST) has several shortcomings that are likely contributing factors behind the widely debated replication crisis of (cognitive) neuroscience, psychology, and biomedical science in general. We review these shortcomings and suggest that, after sustained negative experience, NHST should no longer be the default, dominant statistical practice of all biomedical and psychological research. If theoretical predictions are weak we should not rely on all or nothing hypothesis tests. Different inferential methods may be most suitable for different types of research questions. Whenever researchers use NHST they should justify its use, and publish pre-study power calculations and effect sizes, including negative findings. Hypothesis-testing studies should be pre-registered and optimally raw data published. The current statistics lite educational approach for students that has sustained the widespread, spurious use of NHST should be phased out.

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# Is NHST a good choice for:

- Developing a prognostic score for cardiovascular disease?
- Assessing a diagnostic test for depression?
- Evaluating a medical therapy in a randomized trial?
- Mining electronic health records?
- Mining big data from metabolomics?
- Assessing if women athletes with high natural testosterone should be excluded from the Olympics?

# Is it up to institutional changes?

### Table 4. Issues That Could be Addressed by a Policy of Good Institutional Practice for Basic Research

Focus	Proposal
Students/post-doctoral fellows	Core training in experimental methods and experimental design; data selection; data analysis; blinding; inclusion of controls; statistical interpretation; reagent validation; experimental replicates and repeats
	Mentoring provided by senior colleague from independent department
Investigator	Requirement that subjective end points are assessed by blinded investigators
	Compulsory refresher courses on experimental design; data selection; inclusion of controls; data analysis; statistical interpretation; reagent validation; issues in emerging technologies
	Requirement to comply with Federal and Scientific community guidelines and recommendations
Institution	Guidelines for dealing with fraud
	Independent committee to review compliance
	Requirement that raw data will be made available on request
	Guidelines for recording of laboratory notebooks
	Random reviews of laboratory notebooks
	Transparent promotion process that weighs quality above flashy, nonreproducible research; rewards mentoring and training

### Begley and Ioannidis, Circulation Research 2015

# Modeling and modeling plus experimentation

PERSPECTIVE

## The credibility crisis in research: Can economics tools help?

Thomas Gall<sup>1</sup>, John P. A. Ioannidis<sup>2</sup>, Zacharias Maniadis<sup>1</sup>\*

1 Economics Department, School of Social Sciences, University of Southampton, Southampton, United Kingdom, 2 Meta-Research Innovation Center at Stanford (METRICS), Stanford University, Stanford, California, United States of America

\* z.maniadis@soton.ac.uk

### Abstract



The issue of nonreplicable evidence has attracted considerable attention across biomedical and other sciences. This concern is accompanied by an increasing interest in reforming research incentives and practices. How to optimally perform these reforms is a scientific problem in itself, and economics has several scientific methods that can help evaluate research reforms. Here, we review these methods and show their potential. Prominent among them are mathematical modeling and laboratory experiments that constitute affordable ways to approximate the effects of policies with wide-ranging implications.



COMMUNITY PAGE

## Meta-research: Evaluation and Improvement of Research Methods and Practices

John P. A. Ioannidis\*, Daniele Fanelli, Debbie Drake Dunne, Steven N. Goodman

Meta-Research Innovation Center at Stanford (METRICS), Stanford University, Stanford, California, United States of America

\* jioannid@stanford.edu

# Re-engineering the reward system

Table. PQRST Index for Appraising and Rewarding Research

	0	perationalization
Item in PQRST Index	Example	Data Source
P (productivity)	Number of publications in the top tier % of citations for the scientific field and year	ISI Essential Science Indicators (automated)
	Proportion of funded proposals that have resulted in $\geq 1$ published reports of the main results	Funding agency records and automated recording of acknowledged grants (eg, PubMed)
	Proportion of registered protocols that have been published 2 y after the completion of the studies;	Study registries such as ClinicalTrials.gov for trials
Q (quality of scientific work)	Proportion of publications that fulfill $\geq 1$ quality standards	Need to select standards (different per field/design) and may then automate to some extent; may limit to top-cited articles, if cumbersome
R (reproducibility of scientific work)	Proportion of publications that are reproducible	No wide-coverage automated database currently, but may be easy to build, especially if limited to the top-cited pivotal papers in each field.
S (sharing of data and other resources)	Proportion of publications that share their data, materials, and/or protocols (whichever items are relevant)	No wide-coverage automated database currently, but may be easy to build, eg, embed in PubMed at the time of creation of PubMed record and update if more is shared later
T (translational impact of research)	Proportion of publications that have resulted in successful accomplishment of a distal translational milestone, eg, getting promising results in human trials for intervention tested in animals or cell cultures, or licensing of intervention for clinical trials	No wide-coverage automated database currently, would need to be curated by appraiser (eg, funding agency) and may need to be limited to top-cited papers, if cumbersome

Ioannidis and Khoury, JAMA 2014

## A manifesto for reproducible science

Marcus R. Munafò<sup>1,2\*</sup>, Brian A. Nosek<sup>3,4</sup>, Dorothy V. M. Bishop<sup>5</sup>, Katherine S. Button<sup>6</sup>, Christopher D. Chambers<sup>7</sup>, Nathalie Percie du Sert<sup>8</sup>, Uri Simonsohn<sup>9</sup>, Eric-Jan Wagenmakers<sup>10</sup>, Jennifer J. Ware<sup>11</sup> and John P. A. Ioannidis<sup>12,13,14</sup>



Figure1 | Threats to reproducible science. An idealized version of the hypothetico-deductive model of the scientific method is shown. Various

### Table1 | A manifesto for reproducible science.

Theme	Proposal	Examples of initiatives/potential solutions (extent of current adoption)	Stakeholder(s)
Methods	Protecting against cognitive biases	All of the initiatives listed below (* to ****) Blinding (**)	J, F
	Improving methodological training	Rigorous training in statistics and research methods for future researchers (*) Rigorous continuing education in statistics and methods for researchers (*)	I, F
	Independent methodological support	Involvement of methodologists in research (**) Independent oversight (*)	F
	Collaboration and team science	Multi-site studies/distributed data collection (*) Team-science consortia (*)	I, F
Reporting and dissemination	Promoting study pre-registration	Registered Reports (*) Open Science Framework (*)	J, F
	Improving the quality of reporting	Use of reporting checklists (**) Protocol checklists (*)	J
	Protecting against conflicts of interest	Disclosure of conflicts of interest (***) Exclusion/containment of financial and non-financial conflicts of interest (*)	J
Reproducibility	Encouraging transparency and open science	Open data, materials, software and so on (* to **) Pre-registration (**** for clinical trials, * for other studies)	J, F, R
Evaluation	Diversifying peer review	Preprints (* in biomedical/behavioural sciences, **** in physical sciences) Pre- and post-publication peer review, for example, Publons, PubMed Commons (*)	1
Incentives	Rewarding open and reproducible practices	Badges (*) Registered Reports (*) Transparency and Openness Promotion guidelines (*) Funding replication studies (*) Open science practices in hiring and promotion (*)	J, I, F

Estimated extent of current adoption: \*, <5%; \*\*, 5-30%; \*\*\*\*, 30-60%; \*\*\*\*\*, >60%. Abbreviations for key stakeholders: J, journals/publishers; F, funders; I, institutions; R, regulators.

## Understand and align interests of stakeholders

**Table 1.** Some major stakeholders in science and their extent of interest in research and its results from various perspectives; typical patterns are presented (exceptions do occur).

	Extent of intere	Extent of interest in research results			
	Publishable	Fundable	Translatable	Profitable	
Scientists	+++	+++	+		
Industry – sales and marketing				+++	
Industry – R & D			+++	+++	
Private investors, including hedge funds			++	+++	
Public funders – open (e.g. NIH, NSF)	++		+		
Public funders – closed (e.g. military)			+++		
Not-for-profit funders/philanthropists	++		+++		
Journal editors	+++			+	
For-profit publishers	+			+++	
Professional and scientific societies	+				
Universities	+	+++		+	
Not-for-profit research institutions	+++	+++	+	+	
Supporting non-scientific staff		+++			
Hospitals and other professional facilities offering services rel	ated to science		+	+++	
Other financial entities that are affected by these services (e.	g. insurance)			+++	
Governments and state/federal authorities				++	
Consumers of products and services			+++		

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...Στο ανακαινισμένο θέατρο θα στηθούν το απόγευμα τα επτά μικρόφωνα για τους απόντες ομιλητές. Μετά τους μονομάχους, θα έρθουν οι οργανοπαίχτες κι έπειτα οι σύνεδροι επιστήμονες παραπαίοντας στον περίπατο των κυπαρισσιών. Μόνο η σαύρα ξέρει τελικά να ορθώνει κεφάλι, κι όχι, φυσικά, δεν είναι ο άνθρωπος που θα ρυμουλκήσει τη φύση που εγκλωβίστηκε στους νόμους της.

...The renovated theater of Taormina will be all set in the afternoon, the seven microphones have been placed waiting for the absent speakers. After the gladiators, the instrumentalists will come on stage and then the scientists attending the conference will falter into the cypress walk. Only the lizard eventually knows how to raise its head, and, of course, you cannot expect of humans to tow nature. Nature is broken, trapped in its own laws.

Toccata for the Girl with the Burnt Face

# Concluding comments

- Most clinical research is either false or not useful
- There are many possible interventions that may improve the efficiency of research practices and make clinical research more credible and more useful
- Empirical meta-research would be useful not only to assess the prevalence of problems, but also to assess the effectiveness and potential harms of interventions that try to improve research



Daniele Fanelli Steve Goodman Shanil Ebrahim Despina Contopoulos-Ioannidis Georgia Salanti **Chirag Patel** Lars Hemkens Ann Hsing Lamberto Manzoli Maria Elena Flacco George Siontis **Denes Szucs Kostas Siontis** Vangelis Evangelou Kristin Sainani Muin Khoury Orestis Panagiotou Florence Bourgeois



Joseph Lau Malcolm MacLeod Marcus Munafo **David Allison** Josh Wallach Fotini Karassa Athina Tatsioni Evi Ntzani Ioanna Tzoulaki **Demos Katritsis** Nikos Patsopoulos Fainia Kavvoura Brian Nosek Victoria Stodden Ele Zeggini Belinda Burford Kostas Tsilidis Jodi Prochaska



Charitini Stavropoulou Evropi Theodoratou Nikos Pandis Huseyin Naci Vanesa Bellou Antony Doufas Lazaros Belbasis Chris Doucouliagos **Stelios Serghiou** Anna Chaimani Fotini Chatzinasiou Stephania Papatheodorou Florian Naudet Tom Hardwicke Perrine Janiaud Ioana-Alina Cristea Shannon Brownlee Vikas Saini Matthias Egger Patrick Bossuyt Andre Uitterlinden Doug Altman Deb Zarin Katherine Flegal



Shanthi Kapaggoda **Ewoud Schuit** Stefania Boccia **David Chavalarias** Jennifer Ware Viswam Nair Stephan Bruns Dorothy Bishop Tom Trikalinos Kristina Sundquist Johanna Int'hout Kevin Boyack Brett Thombs Raj Manrai Nazmus Saquib Elizabeth Iorns Abraham Verghese **Euan Ashley**