# Adapting deliberative democracy for clinical guideline implementation in a dental setting



#### AN IMPLEMENTATION RESEARCH PROJECT



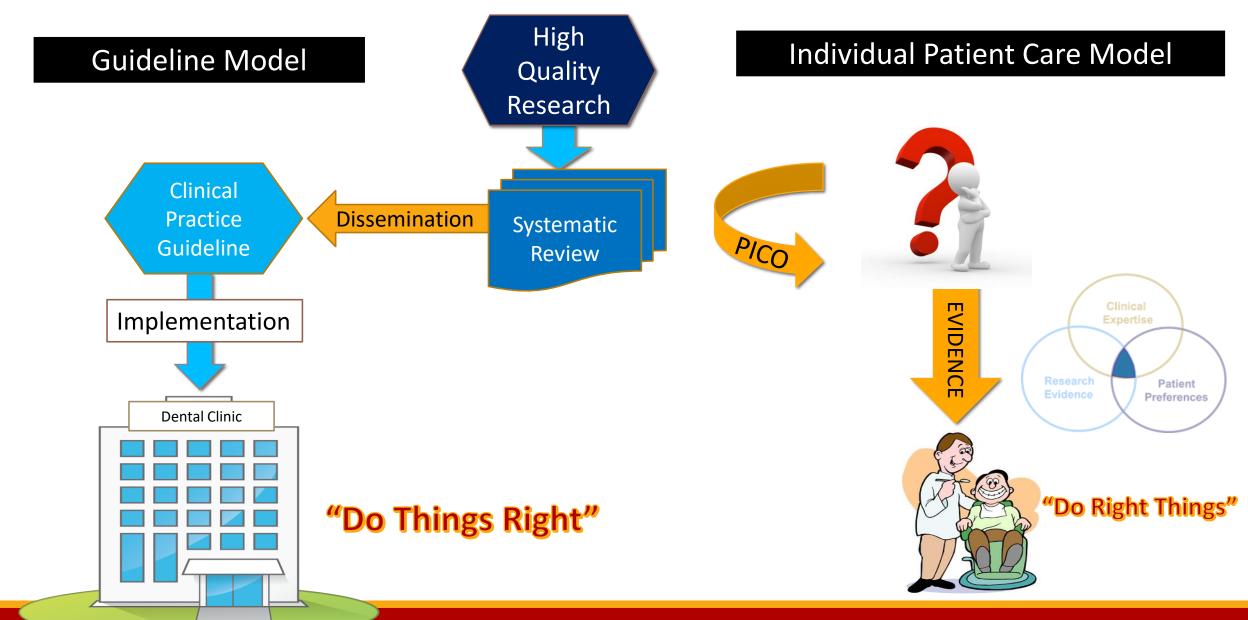
Robert Weyant, DMD, DrPH
Department of Dental Public Health
School of Dental Medicine

## Translational Research Continuum



**T4 T3 T2** Translation Translation Translation to Humans to Population to Patients to Practice Health EXAMPLES EXAMPLES EXAMPLES EXAMPLES Later phase Health services Interventions Pre-clinical and research (HSR) clinical trials and improve animal studies population Dissemination Proof of health Concept (POC) and implementation Social Early stage determinants of Studies in real clinical trials health world settings BEDSIDE BENCH  $\rightarrow \rightarrow \rightarrow \rightarrow$  $\rightarrow \rightarrow \rightarrow \rightarrow$ COMMUNITY

## 2 approaches to EBM used in mature healthcare delivery systems



## Patients cannot benefit from interventions they do not receive...

#### **IMPLEMENTATION:**

Develop strategies to integrate evidence-based interventions within a particular setting (e.g., a clinic)



## (Structural) Barriers

- Training
- Education
- Workflow
- Workforce
- Financing
- Buy In
- Sustainability

Goal: Make the "Best" evidence routine



**GOAL:** understand organizational processes for guideline implementation ("Dental Sealant" CPG)

**SITE**: Kaiser Permanents Dental Clinics (n=16 clinics)

**PRIMARY OBJECTIVE**: Is the deliberative democracy process effective in generating implementation strategies that work?



Before



After



## •Aim 1 (Exploration)

• Identify the forces and group dynamics influencing current clinical practices relative to the clinical practice guideline (CPG).

## •Aim 2 (Preparation)

 Determine the feasibility and acceptability of a process for developing an implementation strategy for CPG.

## •Aim 3 (Implementation)

 Determine the effectiveness of an implementation strategy for the CPG.

## What is Deliberative Democracy?



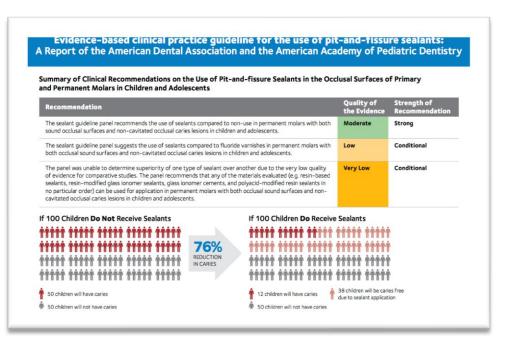
**Goal: Informed Opinion and Expanded Collective Wisdom (not consensus)** 

What would people think about an issue after they receive expert information and engage alternative perspectives in a deeply deliberative process?

## What is Deliberative Democracy?

## 3 Stages for Engaging Difference as a Resource

- STAGE 1: Develop Briefing Materials
  - Develop a Discussion Guide
  - Capture "Current Conversation"
  - Represent issues from diverse perspectives
  - Provide diverse models for thinking about problems and solutions
- STAGE 2: Hold Deliberative Forum
  - Recruit diverse participants
  - Enable participants to share their lived experience and hear the lived experience of others
  - Provide a Resource Panel with diverse expertise
- STAGE 3: Administer Exit Survey
  - Obtain informed opinions from participants



#### CPG (dental sealants)



**Routine Practice** 

Deliberative Democracy process

Increased perception of voice

Increased sense of legitimacy

Increased buy-in

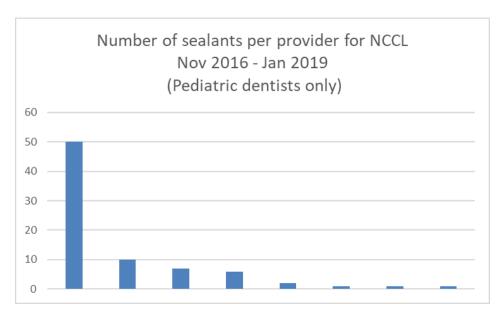
Guideline adherence (primary outcome)

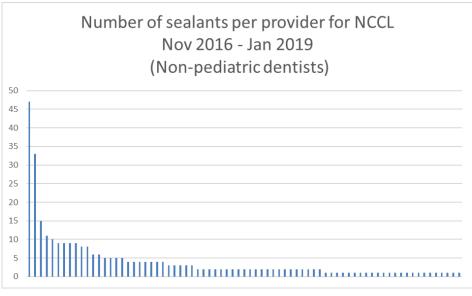
## Study Update - Aim 1

- Observations, Interviews, and Focus Groups
- Method: Interviews with Kaiser Permanente Dental Care Program leadership,
  - 3 focus groups with dental hygienist and assistants
  - 2 with dentists

#### Results

- Perceived barriers
  - 1. shortcomings in the implementation process and infrastructure
  - 2. resource constraints.
- Perceived opportunities (dissemination and implementation of CPG)
  - recognition of the importance of guidelines in dental practice and
  - 2. well-functioning workflows in sub-specialties.





## Study Update – Aim 2 & 3 are in progress

## •Next steps:

- Finalizing the clinical protocol to submit to NIDCR
- Prepping materials for the pilot study
  - Context Setting Document
  - Post DD Session Survey
  - List of implementation strategies



### STUDY TEAM

Principal Investigators:

Drs. Deborah Polk (Pittsburgh) Robert Weyant (Pittsburgh)

Pittsburgh:

John Prescott Nilesh Shah **Kaiser Team:** 

Jeffrey Fellows

Inga Gruss

**Daniel Philstrom** 

Nadia Yosuf (project manager)

**Consultants:** 

Janet Clarkson

Timothy Dawson

**Erick Guerrero** 

Julie Hawley

Matthew Hornsey

**Brian Mittman** 

Beth Nolan

This study supported by NIDCR: Grant U01DE027452.